

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

BOX PATENT APPLICATION
COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

1011 U.S. PTO
10/068160
02/06/02

Transmitted herewith for filing is the continuing patent application of:

Inventor(s): Dennis M. Klinman, Daniela Verthelyi, Ken Ishii, James J. Mond, Mayda Gursel

For: OLIGODEOXYNUCLEOTIDE AND ITS USE TO INDUCE AN IMMUNE RESPONSE

Enclosed are:

- ☒ 65 pages of specification, 7 pages of claims, and an abstract
☒ 7 sheet(s) of drawings
☒ 3 pages of an Oath or Declaration (unsigned)
☐ Newly executed (original or copy)
☐ A copy of oath or declaration filed with the prior application (37 C.F.R. § 1.63(d))
☐ The inventors to be deleted are set forth on a separate sheet attached hereto
☒ Nucleotide and/or Amino Acid Sequence Submission
☒ Computer Readable Copy
☒ Paper Copy (identical to computer copy), 31 pages
☒ Statement in compliance with 37 C.F.R. § 1.821 verifying identity of above copies
☐ Other:

Continuing Application:

- ☐ Continuation
☐ Divisional
☒ Continuation-in-part (CIP)

Information from Prior Application:

Prior Application Number: 09/958,713
Examiner: Not yet assigned
Art Unit: Not yet assigned

FILING FEE					
For	Claims Filed	Number allotted	Number Extra	Rate	Basic Fee
Total Claims	59	20	= 39	\$18.00	\$ 702.00
Independent Claims	1	3	= 0	\$84.00	\$ 0.00
Multiple Dependent Claim Fee				\$280.00	
TOTAL FILING FEE					\$1442.00

- ☒ A check in the amount of \$1,442.00 to cover ☒ filing fee and ☐ assignment recordal fee is enclosed.

- ☒ Assignee for this application is or will be The Government of the United States of America as represented by the Secretary of the Department of Health and Human Services, and applicants request that this information be listed on the published application.
- ☒ This is a continuation-in-part of U.S. Application No. 09/958,713 filed on October 11, 2001, which claims the benefit of PCT Application No. PCT/US00/09839 filed on April 12, 2000, which claims priority to U.S. Provisional Patent Application No. 60/128,898 filed on April 12, 1999, all of which are incorporated herein by reference in their entirety
- ☐ Please charge our Deposit Account No. 02-4550 in the amount of _____. **Two** copies of this sheet are enclosed.
- ☒ The Director is hereby authorized to charge any additional fees which may be required in connection with the filing of this application and recording any assignment filed herewith, or credit over-payment, to Account No. 02-4550. A copy of this sheet is enclosed.
- ☒ Please return the enclosed postcard to confirm that the items listed above have been received.

Respectfully submitted,

KLARQUIST SPARKMAN, LLP

By



Susan Alpert Siegel, Ph.D.
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cc: Docketing

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Klinman et al.

Art Unit: To be assigned

Application No. To be assigned

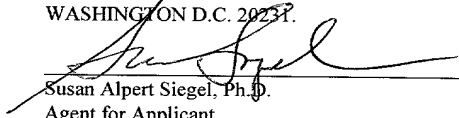
CERTIFICATE OF MAILING

Filed: Herewith

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service on February 6, 2002 as First Class Mail in an envelope addressed to: BOX PATENT APPLICATION, COMMISSIONER FOR PATENTS, WASHINGTON D.C. 20231.

For: OLIGODEOXYNUCLEOTIDE AND ITS
USE TO INDUCE AN IMMUNE
RESPONSE

Examiner: To be assigned


Susan Alpert Siegel, Ph.D.
Agent for Applicant

Date: February 6, 2002

STATEMENT IN COMPLIANCE WITH 37 C.F.R. § 1.821(f)

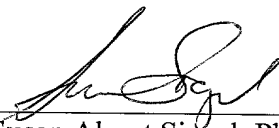
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WASHINGTON, DC 20231

Sir:

In compliance with 37 C.F.R. § 1.821(f), the undersigned declares that the nucleotide and/or amino acid sequences presented in the paper copy of the "Sequence Listing" submitted herewith are the same as the sequences contained in the computer-readable form of said "Sequence Listing."

Respectfully submitted,

KLARQUIST SPARKMAN, LLP

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